



PTO/SB/08A (08-00)

| | | | | | |
|--|---|----|---|--------------------------|-------------------|
| SUBSTITUTE FOR FORM 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/023,396 |
| | | | | Filing Date | December 20, 2001 |
| | | | | First Named Inventor | Alexander Goetz |
| | | | | Group Art Unit | 2621 |
| | | | | Examiner Name | Sang H. Nguyen |
| Sheet | 1 | of | 2 | Attorney Docket Number | ASDI-005/00US |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
|--------------------|-----------------------|----------------------|--------------------------------------|---|--|
| | | Number | Kind Code ¹ (if known) | | |
| | 1. | 4,953,745 | | Rowlett, Jr. | 09/04/1990 |
| | 2. | 5,401,059 | | Ferrario | 03/28/1995 |
| | 3. | 5,448,110 | | Tuttle, et al. | 09/05/1995 |
| | 4. | 5,504,332 | | Richmond, et al. | 04/02/1996 |
| | 5. | 5,558,231 | | Weier | 09/24/1996 |
| | 6. | 5,615,009 | | Sakura, et al. | 03/25/1997 |
| | 7. | 5,628,530 | | Thomton | 05/13/1997 |
| | 8. | 5,646,425 | | Beach | 07/08/1997 |
| | 9. | 5,760,399 | | Trygstad | 06/02/1998 |
| | | | | | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T ⁶ |
|--------------------|-----------------------|-------------------------|---------------------|--------------------------------------|---|---|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| OK | 10. | EP | 0727671 | B1 | AT&T Corp. | 08/21/1996 | |
| OK | 11. | WO | 97/07473 | A1 | Patient Solutions, Inc. | 02/27/1997 | |
| OK | 12. | EP | 0841548 | A2 | Lucent Technologies, Inc. | 05/13/1998 | |
| OK | 13. | WO | 98/28676 | A2 | Pyxis Corp. | 07/02/1998 | |
| OK | 14. | WO | 00/00811 | A2 | San Diego State University Foundation | 01/06/2000 | |
| OK | 15. | WO | 00/04480 | A1 | Noven Pharmaceuticals, Inc., et al. | 01/27/2000 | |
| OK | 16. | WO | 01/22063 | A1 | Astrazeneca AB, et al. | 03/29/2001 | |
| OK | 17. | WO | 02/07066 | A1 | Spectral Dimensions, Inc., et al. | 01/24/2002 | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-00)

| | | | | | |
|---|---|----|---|---------------------------------|-------------------|
| Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/023,396 |
| | | | | Filing Date | December 20, 2001 |
| | | | | First Named Inventor | Alexander Goetz |
| | | | | Group Art Unit | 2621 |
| | | | | Examiner Name | Sang H. Nguyen |
| Sheet | 2 | of | 2 | Attorney Docket Number | ASDI-005/00US |

[illegible]

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² Applicant is to place a check mark here if English language Translation attached.